

## Managing disputes in the banking and financial markets sector

Forensic and  
Investigation Services

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Whilst the full fallout from the global financial crisis is as yet uncertain, we can be sure that it will be the catalyst for a wave of disputes. In the post credit crunch era we will be working to a new set of rules. Mazars' innovative approach facilitates positive resolutions.

In any banking sector dispute it is critical that your accounting expert not only understands the dispute resolution arena but also has in-depth knowledge of the banking sector.

## Our expertise

Mazars has extensive banking and financial markets expertise. We work with a wide range of clients across the sector, including major international banking groups, providing insight and advice in understanding complex regulatory requirements. We help our clients to stay ahead in this ever-evolving and pressured environment.

We have strong relationships with banking regulators and standard setters both at a national and European level. Mazars is the only accountancy firm outside of the Big Four to be an associate member of the Council of Mortgage Lenders.

## Our forensic approach

Our team has extensive case experience in investigating banking and financial disputes and providing strategic solutions. We have a proven track record of providing authoritative and impartial advice, whether appointed as expert witness or expert advisor.

No two assignments are alike. We listen and understand your needs. We provide a tailored approach to each assignment. Communication is essential so we keep you informed of our progress. The hands on, innovative and proactive approach of our partners ensures we thoroughly investigate and analyse the financial aspects of your case to meet your needs. We present findings as a written report or statement and, if required, in the witness box.

## How we can help

Mazars has sector recognised expertise. Our banking experts and forensic accountants are regularly appointed to investigate and provide accounting advice and evidence in relation to disputes in the banking and financial markets.

## Our experts



**Rudi Lang – Partner, London**  
**Banking and Financial Markets**

Rudi provides services to banks, building societies and investment managers. He has extensive experience of undertaking investigations and providing expert opinions for regulators and the European Commission. Rudi also has undertaken fraud investigations, including in Germany and Austria.

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**Serena Joseph – Partner, London**  
**Regulatory Advisory**

Serena is an Associate of the Chartered Institute of Bankers and Fellow of the Compliance Institute. She has extensive experience advising firms on managing regulatory change. Serena has a particular focus on corporate governance, and embedding regulatory principles into business design and process whilst enhancing the overall effectiveness of the business. She provides specialist advice to firms about managing their relationships with the FSA.

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## Our experts *continued*



**Mark Standish – Partner, Chilterns**  
**Banking and Corporate Finance**

Mark is an Associate of the Chartered Institute of Bankers and has over 25 years experience in commercial and corporate banking. He has worked for a number of major banks, specialising in corporate and structured finance transactions. He has particular skills in the structuring of debt based acquisitions and buyouts, as well as all types of corporate restructuring and finance raising. Mark has appeared as an expert witness in court on several occasions.

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**Nigel Grummitt – Partner, London**  
**Forensic and Investigation Services**

Nigel's experience includes acting as expert on an \$400 million investment fraud and advising on and assisting with the strategy employed in the recovery of funds. He has also provided valuation input in the context of financial due diligence and transaction support in connection with HBOS and the National Australia Bank Group.

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**Mark Taylor – Partner, London**  
**Forensic and Investigation Services**

Mark has considerable experience in the banking and financial markets having acted in a large number of cases, including relating to: credit committee approvals and loan frauds; spot margin foreign exchange trading; derivatives joint ventures; loan collateral disputes; and Eurobond disputes.

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**Stephen Lewis – Partner, Birmingham**  
**Forensic and Investigation Services**

Stephen has experience in acting in disputes for banks in respect of funding issues, including the investigation of "black holes" and lending frauds. Additionally Stephen has experience of acting in relation to foreign exchange contract disputes.

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**Tom Mitchell – Director, Scotland**  
**Forensic and Investigation Services**

Tom has broad operational and advisory experience of the banking and asset management sectors internationally and has acted in a wide range of expert witness and investigation assignments. Prior to joining Mazars, Tom worked with the Bank of Bermuda and the Bank of Scotland where he ran various banking operations in international markets.

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